

Higgs Effective Field Theories and their Renormalization

— Theory Palaver, JGU Mainz —

Claudius Krause

Fermi National Accelerator Laboratory

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In collaboration with:

G. Buchalla, O. Catà, A. Celis, M. Knecht,
J. de Blas, O. Eberhardt, J. Toelstede

Where is the New Physics?

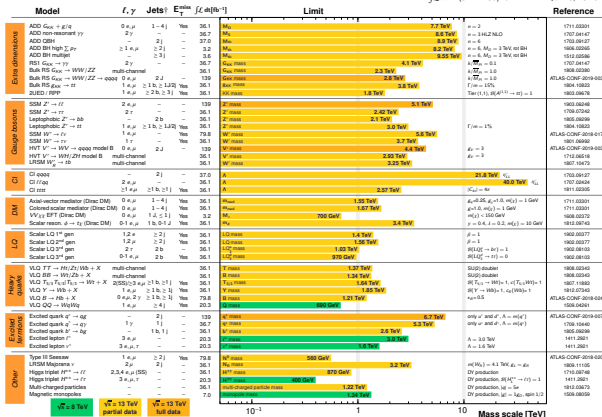
ATLAS Exotics Searches* - 95% CL Upper Exclusion Limits

Status: March 2019

ATLAS Preliminary

$\int L dt = (3.2 - 139) \text{ fb}^{-1}$

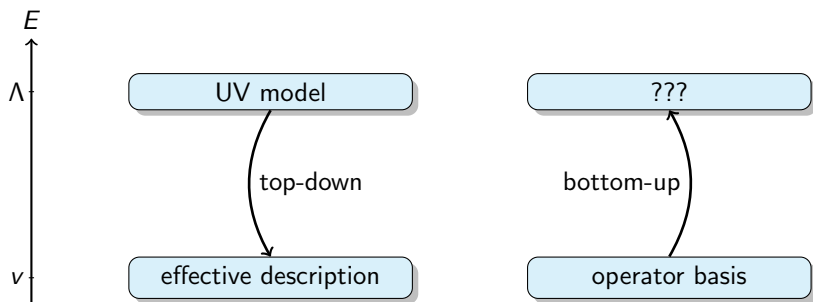
$\sqrt{s} = 8, 13 \text{ TeV}$



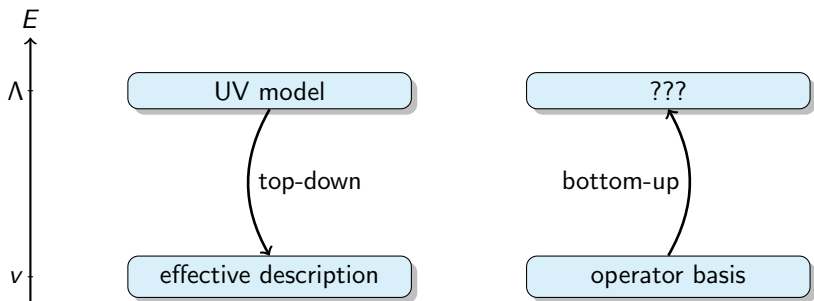
<https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/CombinedSummaryPlots/EXOTICS/>

- The LHC confirmed the Standard Model.
- ATLAS [1207.7214] and CMS [1207.7235] found a Higgs-like scalar.
- All other searches for New Physics have been negative so far.

We can use EFTs because we have a mass gap.



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For a model-independent analysis we use the bottom-up approach.

However, we can learn something in both approaches:

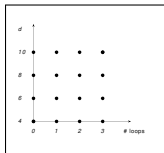
- top-down: Gain intuition with UV-models
- bottom-up: Fitting Wilson-coefficients

⇒ For a consistent analysis, we need the RGEs!

⇒ EFTs have become a popular field of research. ⇐

Higgs Effective Field Theories and their Renormalization

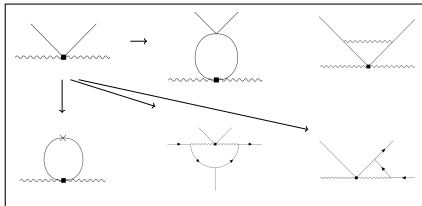
Part I: The two Higgs Effective Field Theories [1307.5017,1412.6356,1803.00939]



$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

Part II: The Master Formula for 1-loop divergences [1710.06412,1904.07840]

Part III: The Application to Higgs EFTs [1710.06412,1904.07840]





I: We distinguish 2 types of EFTs.

Ingredients:

- Particles: all SM particles (incl. 3 GBs for the W^\pm/Z masses)
- Symmetries: $SU(3)_C \times SU(2)_L \times U(1)_Y \rightarrow SU(3)_C \times U(1)_{em}, B, L$
at LO: flavor and custodial symmetry
- Power counting: depends on type of the EFT:



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decoupling (linear) EFT:
– SMEFT –

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- Higgs is written as doublet ϕ
- expansion in canonical dimensions



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non-decoupling (nonlinear) EFT: – EWChL –

- LO: Higgs-less chiral Lagrangian + generic scalar h
- written in terms of U and h
- expansion in loops or chiral dimensions.



I: In the SMEFT, the expansion parameter is $\left(\frac{v}{\Lambda}\right)$.

Assumptions:

- There is a gap to the scale of new physics: $\Lambda \gg v$
 - The low-energy field content contains the Higgs doublet.
- LO is renormalizable, new physics decouples. Appelquist/Carazzone ['75 PRD]



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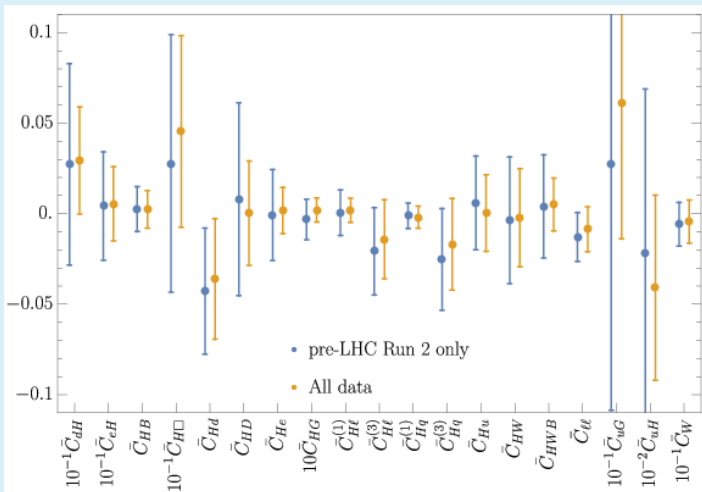
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$$\mathcal{L} = \mathcal{L}_{\text{SM}}^{d=4} + \frac{v}{\Lambda} \mathcal{L}^{d=5} + \frac{v^2}{\Lambda^2} \mathcal{L}^{d=6} + \frac{v^3}{\Lambda^3} \mathcal{L}^{d=7} + \frac{v^4}{\Lambda^4} \mathcal{L}^{d=8} + \dots$$

- dim 5: 2 operators (violating L) Weinberg ['79 PRL]
- dim 6: 76 operators (conserving B), 8 operators (violating B)
Buchmüller, Wyler ['86 NPB]; Grzadkowski et al. [1008.4884, JHEP]
- dim 7: 30 operators (all violating L , some B)
Lehman [1410.4193, PRD]; Liao/Ma [1607.07309, JHEP]
- dim 8: 895 operators (conserving B), 98 operators (violating B)
Lehman/Martin [1510.00372, JHEP]; Henning et al. [1512.03433, JHEP]
(For 1 generation and including hermitean conjugates.)

I: Current Data is consistent with the SM.



Ellis/Murphy/Sanz/You [1803.03252,JHEP]



I: The construction of the chiral Lagrangian

Assumptions:

Feruglio [hep-ph/9301281], Bagger *et al.* [hep-ph/9306256], Chivukula *et al.* [hep-ph/9312317], Wang/Wang [hep-ph/0605104], Grinstein/Trott[0704.1505], Contino[1005.4269], Alonso *et al.* [1212.3305], ...

- The pattern of symmetry breaking is $SU(2)_L \times SU(2)_R \rightarrow SU(2)_{V=L+R}$
- The transverse gauge bosons and the fermions of the SM are weakly coupled. possibly:
- A new strong sector generates the 3 GBs of EWSB and the h at the scale f .

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The Goldstone bosons φ are described by:

$$\mathcal{L} = \frac{v^2}{4} \langle \partial_\mu U^\dagger \partial^\mu U \rangle, \quad \text{where} \quad U = \exp \left\{ 2i \frac{T_a \varphi_a}{v} \right\}.$$

Callan/Coleman/Wess/Zumino ['69 Phys. Rev.], Feruglio [hep-ph/9301281]

This was used in Chiral Perturbation Theory (χ PT)

$$U \rightarrow I U r^\dagger, \quad \text{where } I, r \in SU(3)_{L,R}$$

Gasser/Leutwyler ['84 Annals Phys., '85 Nucl. Phys. B]

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- A new strong

In unitary gauge:

$$\frac{v^2}{4} \langle (D_\mu U)(D^\mu U^\dagger) \rangle = \frac{g^2 v^2}{4} W_\mu^+ W^{\mu-} + \frac{(g^2 + g'^2) v^2}{8} Z_\mu Z^\mu \text{ plus } f.$$

The Goldstone bosons φ are decoupled by.

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Properties:

- It has generalized Higgs-couplings compared to the SM.
⇒ related to the κ -formalism at LO.
- There is a hierarchy to the operators that modify the EWPD.
- It captures the low-energy effects of strongly-coupled new physics.
- It is non-renormalizable at LO.



I: The Power counting is based on a loop expansion.

- \mathcal{L}_{LO} is not renormalizable in the traditional sense, but it is renormalizable in the modern sense — order by order in an EFT:
- The LO counterterms are included at NLO.

⇒ The basis of NLO-operators is at least given by the counterterms of the one loop divergences.

- We identify $\frac{f^2}{\Lambda^2} \simeq \frac{1}{16\pi^2}$.
- The scale of new physics $f \approx v$, $\xi = \frac{v^2}{f^2} \approx 1$ (to be relaxed later)



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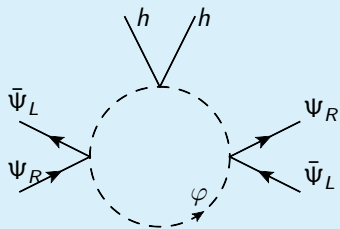
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How can we identify the necessary counterterms?

- Using the superficial degree of divergence. ⇒ next slide
- Computing all divergent one-loop terms. ⇒ last part of this talk

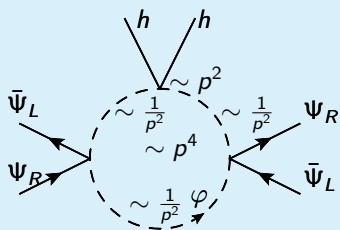


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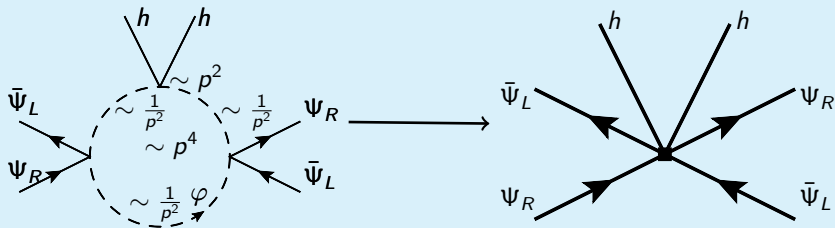




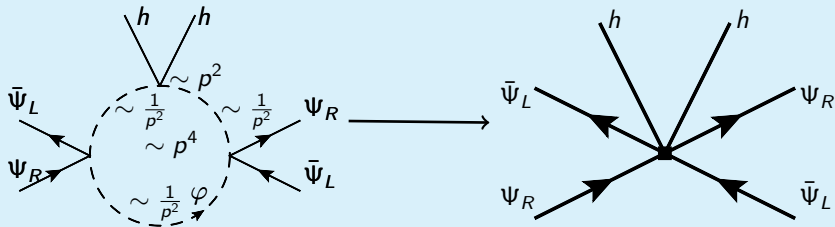
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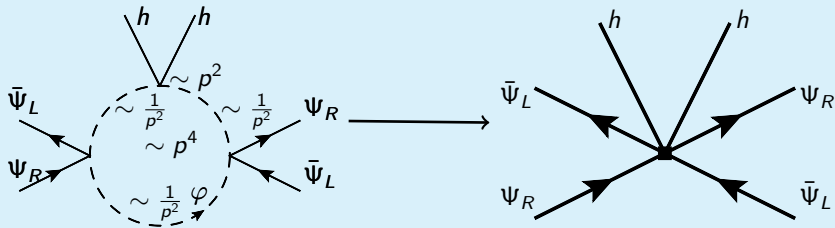


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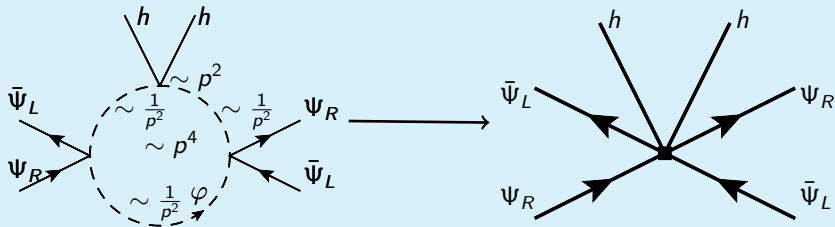
$$\mathcal{D} \sim p^{2L+2-X-\frac{1}{2}(F_L+F_R)-N_w} \left(\frac{\varphi}{v}\right)^B \left(\frac{h}{v}\right)^H \bar{\Psi}_L^{F_L^1} \Psi_L^{F_L^2} \bar{\Psi}_R^{F_R^1} \Psi_R^{F_R^2} \left(\frac{\mathcal{X}_{\mu\nu}}{v}\right)^X$$

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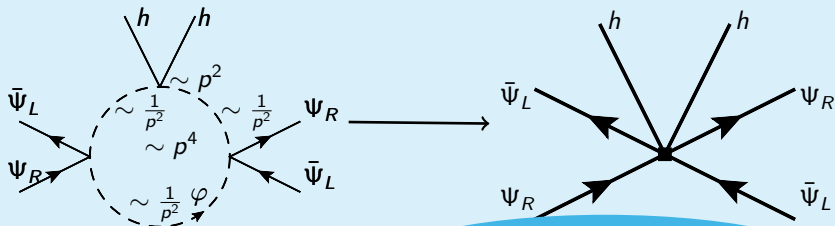
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This is equivalent to a counting of chiral dimensions:

$$2L + 2 = [\text{couplings}]_X + [\text{derivatives}]_X + [\text{fields}]_X$$

$$\begin{aligned} [\text{bosons}]_X &= 0, \\ [\text{fermion bilinears}]_X &= [\text{derivatives}]_X = [\text{weak couplings}]_X = 1 \end{aligned}$$

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Example:
 $[gg' B_{\mu\nu} \langle UT_3 U^\dagger W^{\mu\nu} \rangle \mathcal{F}(h)]_X = 4$
 $\rightarrow L = 1$

$$\mathcal{D} \sim p^{2L+2-X-\frac{1}{2}(F_L+F_R)-N_w} \left(\frac{\varphi}{v}\right)^{N_\varphi} \left(\frac{g}{\Lambda}\right)^{N_g} \left(\frac{g'}{\Lambda}\right)^{N_{g'}} \left(\frac{g_s}{\Lambda}\right)^{N_s} \left(\frac{1}{\Lambda}\right)^{N_\Lambda}$$

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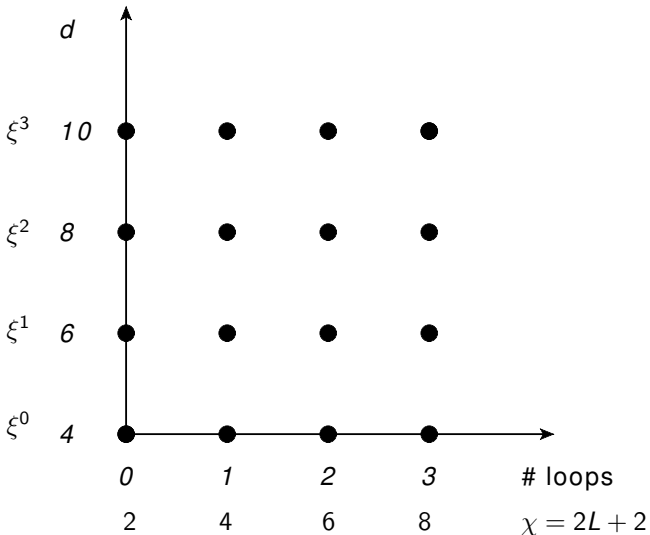


I: Chiral dimensions have several applications.

- They are a generalization of the $\mathcal{O}(p)$ -expansion of χ PT:
→ Classify the NLO ($\chi = 4$) operators.
- Control the explicit breaking of symmetries (e.g. custodial or CP):
If they are broken by weak perturbations (like gauge or Yukawa), their spurions come with chiral dimensions as well.
- Gain additional informations about dimension 6 operators:
 $[g^3 \langle W_\mu^\nu W_\nu^\rho W_\rho^\mu \rangle]_\chi = 6 \rightarrow$ arises at 2 loops
(given no states at f)
- They give the correct generalization of NDA, *i.e.* they also describe internal gauge and Yukawa lines.

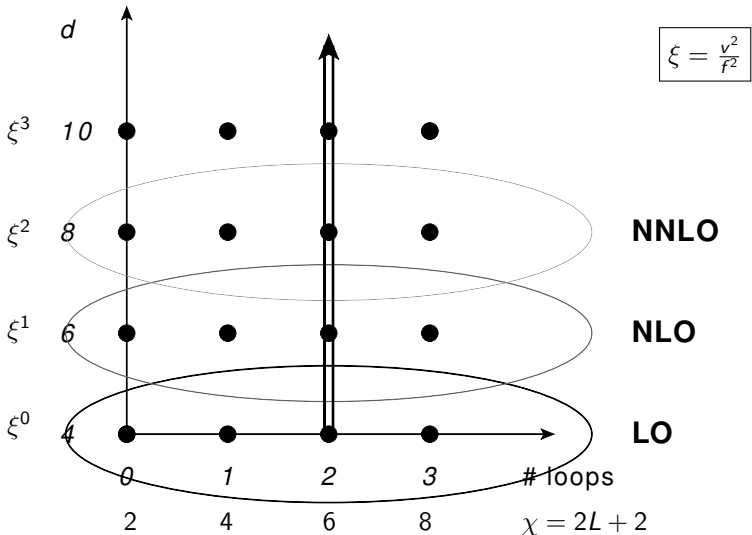
Naive Dimensional Analysis: Georgi, Manohar ['84 NPB]; Georgi [hep-ph/9207278]

I: A graphical way to see the relation of SMEFT vs. $EWCh\mathcal{L}$

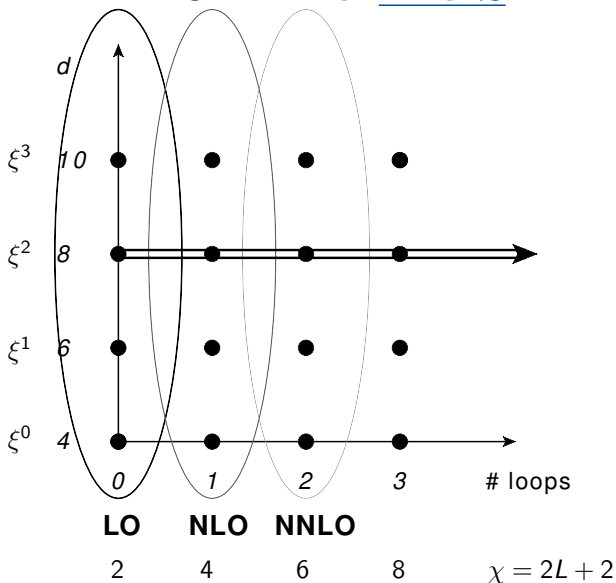


$$\xi = \frac{v^2}{f^2}$$

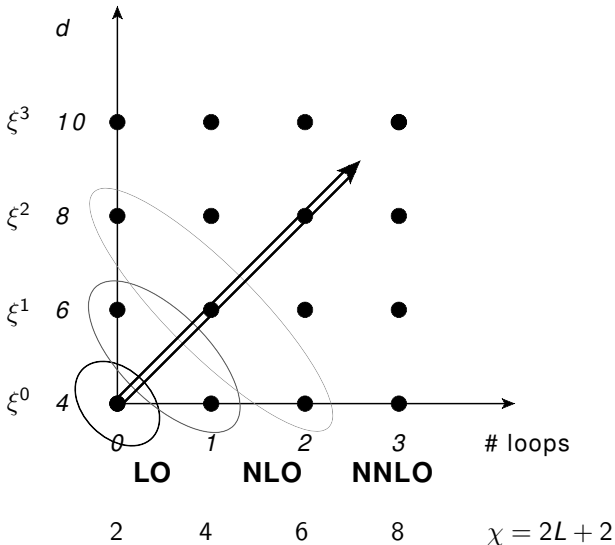
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I: Current observables select \mathcal{L}_{fit} from the $EWCh\mathcal{L}$.

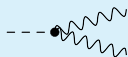
$$\mathcal{L}_{EWCh} = \mathcal{L}_{\text{kin}}^{h,\Psi,\text{gauge}} + \frac{v^2}{4} \langle (D_\mu U)(D^\mu U^\dagger) \rangle (1 + F_U(h)) - \mathcal{V}(h) - (v \bar{\Psi}_f U Y_f(h) \Psi_f + \text{h.c.}) + \mathcal{L}_{\text{NLO}}$$

Buchalla/Catà/Celis/CK [1504.01707,NPB]

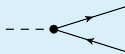
We focus on **current observables** and require $f > v$, i.e. $\xi = v^2/f^2 < 1$.

Single h processes:

tree:

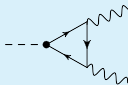


c_V



$c_{t,b,\tau,\mu,(c)}$

1-loop:



$c_{t,b,\tau,\mu,(c)}$



c_V



$c_{\gamma\gamma,gg,Z\gamma}$

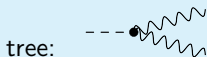
I: Current observables select \mathcal{L}_{fit} from the $EWCh\mathcal{L}$.

$$\begin{aligned} \mathcal{L}_{\text{fit}} = & 2c_V (m_W^2 W_\mu^+ W^{-\mu} + \frac{1}{2} m_Z^2 Z_\mu Z^\mu) \left(\frac{h}{v}\right) \\ & - c_t y_t \bar{t} t h - c_b y_b \bar{b} b h - c_c y_c \bar{c} c h - c_\tau y_\tau \bar{\tau} \tau h - c_\mu y_\mu \bar{\mu} \mu h \\ & + \frac{e^2}{16\pi^2} c_{\gamma\gamma} F_{\mu\nu} F^{\mu\nu} \frac{h}{v} + \frac{e^2}{16\pi^2} c_{Z\gamma} Z_{\mu\nu} F^{\mu\nu} \frac{h}{v} + \frac{g_s^2}{16\pi^2} c_{gg} \langle G_{\mu\nu} G^{\mu\nu} \rangle \frac{h}{v} \end{aligned}$$

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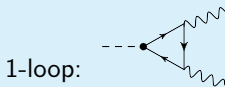
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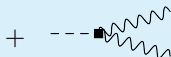
$c_{t,b,\tau,\mu,(c)}$



$c_{t,b,\tau,\mu,(c)}$



c_V



$c_{\gamma\gamma,gg,Z\gamma}$

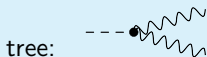
I: Current observables select \mathcal{L}_{fit} from the $EWCh\mathcal{L}$.

$$\begin{aligned} \mathcal{L}_{\text{fit}} = & 2c_V (m_W^2 W_\mu^+ W^{-\mu} + \frac{1}{2} m_Z^2 Z_\mu Z^\mu) \left(\frac{h}{v}\right) \\ & - c_t y_t \bar{t} t h - c_b y_b \bar{b} b h - c_c y_c \bar{c} c h - c_\tau y_\tau \bar{\tau} \tau h - c_\mu y_\mu \bar{\mu} \mu h \\ & + \frac{e^2}{16\pi^2} c_{\gamma\gamma} F_{\mu\nu} F^{\mu\nu} \frac{h}{v} + \frac{e^2}{16\pi^2} c_{Z\gamma} Z_{\mu\nu} F^{\mu\nu} \frac{h}{v} + \frac{g_s^2}{16\pi^2} c_{gg} \langle G_{\mu\nu} G^{\mu\nu} \rangle \frac{h}{v} \end{aligned}$$

Buchalla/Catà/Celis/CK [1504.01707,NPB]

We focus on current observables and require $f > v$, i.e. $\xi = v^2/f^2 < 1$.

Single h processes:

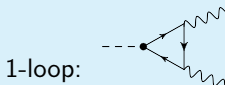


c_V



$c_{t,b,\tau,\mu,(c)}$

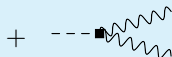
$$c_i = \text{SM} + \mathcal{O}(\xi)$$



$c_{t,b,\tau,\mu,(c)}$



c_V



$c_{\gamma\gamma,gg,Z\gamma}$



I: We use HEPfit to find the current constraints.

HEPfit: \Rightarrow <http://hepfit.roma1.infn.it/>
A Code for the Combination of Indirect and Direct Constraints
on High Energy Physics Models. The HEPfit Collaboration [in preparation]



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It is:

- an open source fitter:
available at <https://github.com/silvest/HEPfit>
- flexible:
add your favorite model or observable
- a stand-alone code with few dependencies:
ROOT, GSL, BOOST, (BAT)
- fast (& optional):
using the MCMC implementation of the Bayesian Analysis Toolkit (BAT)



Caldwell/Kollar/Kroninger [0808.2552, Comput. Phys. Commun.]

I: We use HEPfit to find the current constraints.

Experimental input: For each decay channel we use the signal strength

$$\mu(Y) = \sum_X \text{eff}(X, Y) \frac{\sigma(X) \cdot \text{Br}(h \rightarrow Y)}{(\sigma(X) \cdot \text{Br}(h \rightarrow Y))_{\text{SM}}}$$

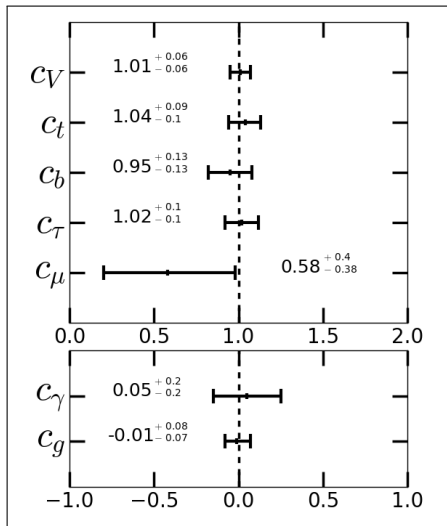
- If available, per experimental production category.
- Otherwise, per production mechanism.

		$b\bar{b}$	WW	$\tau\tau$	ZZ	$\gamma\gamma$	$Z\gamma$	$\mu\mu$
	SM Br	57.5%	21.6%	6.3%	2.7%	2.3‰	1.6‰	0.2‰
ggF8	87.2%	–	AC	AC	AC	AC	AC	AC
ggF13	87.1%	–	AC	C	AC	AC	AC	AC
VBF8	7.2%	–	AC	AC	AC	AC	AC	AC
VBF13	7.4%	C	AC	C	AC	AC	AC	AC
Vh8	5.1%	AC	AC	AC	AC	AC	AC	AC
Vh13	4.4%	AC	AC	C	AC	AC	AC	AC
tth8	0.6%	AC	–	–	AC	AC	AC	AC
tth13	1.0%	AC	AC	AC	AC	AC	AC	AC
Vh2	Tev	Uncertainty of the signal strengths $\mu \pm \Delta\mu$:						
tth2	Tev	$0 < \Delta\mu < 0.5$		$0.5 \leq \Delta\mu < 1.0$		$\Delta\mu > 1.0$		

Table by Otto Eberhardt, HEFT '18, Mainz

I: The Posterior around the SM solution.

- The likelihood has multiple maxima ($c_i \rightarrow -c_i$ symmetries).
- We use a prior to select the SM-like solution.
- More details about the choice of priors are in [1803.00939, JHEP].
- Consistent with SM, but $\mathcal{O}(10\%)$ deviations still possible.
- $c_{Z\gamma}$ and c_C are not constrained beyond prior.



de Blas/Eberhardt/CK [1803.00939, JHEP]



I: Why should we go beyond tree level?

For consistent data analysis:

- Once we enter the precision phase at the LHC, loop effects cannot be neglected.
- LHC probes $E \sim v$, but new physics is matched to the EFT at $E \sim \Lambda \gg v$



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To learn more about the EFT itself:

- Are the bases of NLO operators complete?
- What subset of the chiral dimension 4 operators of the $EWCh\mathcal{L}$ are counterterms?



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To learn more about the field theory in general:


- How can we tackle an ∞ number of Feynman diagrams?



I: The two EFTs behave differently under renormalization.

SMEFT at 1 loop

- n LO vertices \rightarrow LO operators
- n LO vertices
1 NLO vertex } LO + NLO ops.



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- running of dim-4 altered by

$$\beta(c_{\text{SM}}) \sim \frac{\mu_\phi^2}{\Lambda^2} c_{\text{dim-6}} c_{\text{SM}}^m$$

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EWChL at 1 loop

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- running of LO given by

$$\beta(c_{\text{LO}}) \sim c_{\text{LO}}^m$$

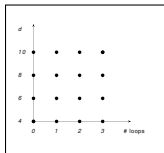
- running of NLO given by

$$\beta(c_{\text{NLO}}) \sim c_{\text{LO}}^m$$

\Rightarrow NLO operators do not mix

Higgs Effective Field Theories and their Renormalization

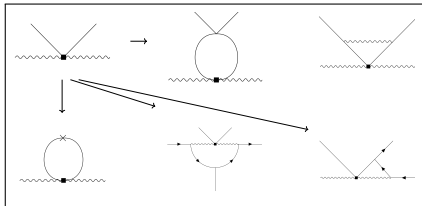
Part I: The two Higgs Effective Field Theories [1307.5017,1412.6356,1803.00939]



$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

Part II: The Master Formula for 1-loop divergences [1710.06412,1904.07840]

Part III: The Application to Higgs EFTs [1710.06412,1904.07840]



$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

II: We use the Background-Field-Method..

starting from the generating functional:

$$Z[j, \rho, \bar{\rho}] = e^{iW[j, \rho, \bar{\rho}]} = \int [d\phi d\psi d\bar{\psi}] e^{i(S[\phi, \psi, \bar{\psi}] + j\phi + \bar{\psi}\rho + \bar{\rho}\psi)},$$

$$\phi = \hat{\phi} + \phi_{qu},$$

$$\psi = \hat{\psi} + \psi_{qu},$$

$$\Rightarrow e^{iW_{L=1}} = \int [d\phi_{qu} d\psi_{qu} d\bar{\psi}_{qu}] e^{iS^{(2)}[\hat{\phi}, \hat{\psi}, \hat{\bar{\psi}}; \phi_{qu}, \psi_{qu}, \bar{\psi}_{qu}]}$$

Abbott '81

Quantum gauge fixing:

$$\mathcal{L}_{\text{gauge-fix}} = -\frac{1}{2\xi} \left(\partial_\mu B^\mu + \frac{\xi}{2} g' v \varphi_3 \right)^2 - \frac{1}{\xi} \text{Tr} \left\{ \left(\hat{D}_W^\mu W_\mu - \frac{\xi}{2} g v \hat{U} \varphi \hat{U}^\dagger \right)^2 \right\}$$

- The terms proportional to φ will make the next steps easier.
- Later, we will set $\xi = 1$.

Dittmaier/Grosse-Knetter [hep-ph/9505266, NPB]

$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

II: ... and Super-Heat-Kernel Expansion...

evaluating the one-loop functional

Neufeld/Gasser/Ecker [hep-ph/9806436,PLB]

$$e^{iW_{L=1}} = \int [d\phi d\psi d\bar{\psi}] e^{iS^{(2)}[\hat{\phi}, \hat{\psi}, \hat{\bar{\psi}}; \phi, \psi, \bar{\psi}]}$$

$$S^{(2)} = \frac{1}{2} \phi A \phi + \bar{\psi} B \psi + \phi \bar{\Gamma} \psi + \bar{\psi} \Gamma \phi$$

$$W_{L=1} = \frac{i}{2} \text{Tr} \ln A - i \text{Tr} \ln B - \frac{i}{2} \sum_{n=0}^{\infty} \frac{1}{n} \text{Tr} (A^{-1} \bar{\Gamma} B^{-1} \Gamma - A^{-1} \Gamma^T B^{-1, T} \bar{\Gamma}^T)^n$$

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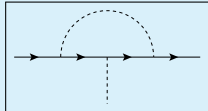
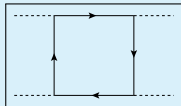
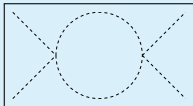
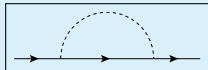
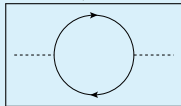
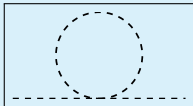
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II: ... and Super-Heat-Kernel Expansion...

Introducing supermatrix algebra:

Neufeld/Gasser/Ecker [hep-ph/9806436,PLB]

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$\text{Sdet } M = \det(a - bd^{-1}c) \det d^{-1}$$

$$\text{Str } M = \text{Tr } a - \text{Tr } d$$

$$\text{Sdet } M = e^{\text{Str } \ln M}$$

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The one-loop functional of $S^{(2)} = \frac{1}{2}\phi A\phi + \bar{\psi}B\psi + \phi\bar{\Gamma}\psi + \bar{\psi}\Gamma\phi$ becomes:

$$W_{L=1} = \frac{i}{2} \text{Str } \ln \Delta, \quad \Delta = \begin{pmatrix} A & \bar{\Gamma} & -\Gamma^T \\ -\bar{\Gamma}^T & 0 & -B^T \\ \Gamma & B & 0 \end{pmatrix}$$

$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

II: ... and Super-Heat-Kernel Expansion...

Applying the Heat-Kernel Expansion:

Donoghue/Golowich/Holstein '92

Neufeld/Gasser/Ecker hep-ph/9806436

$$\begin{aligned} W_{L=1} &= \frac{i}{2} \text{Str} \ln \Delta \\ &= -\frac{i}{2} \int_0^\infty \frac{d\tau}{\tau} \int d^d x \text{str} \langle x | e^{-\tau \Delta} | x \rangle \end{aligned}$$

with the expansion in Seeley-DeWitt coefficients

$$\langle x | e^{-\tau \Delta} | x \rangle = \frac{i}{(4\pi)^{d/2}} \frac{e^{-\tau m^2}}{\tau^{d/2}} \sum_{n=0}^{\infty} a_n(x) \tau^n$$

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- The a_n can be computed, knowing the form of Δ .
- The UV-divergences of $W_{L=1}$ are the poles in $\frac{1}{\tau}$.
⇒ only a_2 contributes!

$$\frac{1}{12}\Lambda^{\mu\nu}\Lambda_{\mu\nu} + \frac{1}{2}\Sigma^2$$

II: ... and Super-Heat-Kernel Expansion...

The Heat-Kernel Expansion extracts the $\frac{1}{\epsilon}$ -poles of $W_{L=1}$.

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With

Donoghue/Golowich/Holstein '92; Neufeld/Gasser/Ecker [hep-ph/9806436,PLB]

$$\Delta = (\partial_\mu + \Lambda_\mu) (\partial^\mu + \Lambda^\mu) + \Sigma$$

we get

$$W_{L=1,div} = \frac{1}{32\pi^2\epsilon} \int d^4x \text{str} \left[\frac{1}{12} \Lambda_{\mu\nu} \Lambda^{\mu\nu} + \frac{1}{2} \Sigma \Sigma \right].$$

$$\Lambda_{\mu\nu} = \partial_\mu \Lambda_\nu - \partial_\nu \Lambda_\mu + [\Lambda_\mu, \Lambda_\nu]$$

- Specifying the Dirac structure of $S^{(2)}$, we can further evaluate the Dirac-traces.
- The resulting Master-Formula is purely algebraic (Matrix multiplication and traces).

'tHooft '73,NPB

$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

II: ... to find the Master Formula.

In the SM (and the *EWChL*), we have

$$\mathcal{L}_2^{\text{SM}} = -\frac{1}{2} \phi^i A_i^j \phi_j + \bar{\chi} (i\not{\partial} - G) \chi + \bar{\chi} \Gamma^i \phi_i + \phi^i \bar{\Gamma}_i \chi,$$

with $A = (\partial^\mu + N^\mu)(\partial_\mu + N_\mu) + Y$ and $G \equiv (r + \rho_\mu \gamma^\mu) P_R + (l + \lambda_\mu \gamma^\mu) P_L$.

This gives

$$\mathcal{L}_{\text{div}}^{\text{SM}} = \frac{1}{32\pi^2 \varepsilon} \left(\text{tr} \left[\frac{1}{12} N^{\mu\nu} N_{\mu\nu} + \frac{1}{2} Y^2 - \frac{1}{3} (\lambda^{\mu\nu} \lambda_{\mu\nu} + \rho^{\mu\nu} \rho_{\mu\nu}) \right] \right. \\ \left. + \text{tr} [2D^\mu l D_\mu r - 2l r l r] + \bar{\Gamma} \left(i\not{\partial} + i\not{N} + \frac{1}{2} \gamma^\mu G \gamma_\mu \right) \Gamma \right)$$

with

$$N_{\mu\nu} \equiv \partial_\mu N_\nu - \partial_\nu N_\mu + [N_\mu, N_\nu], \\ \lambda_{\mu\nu} \equiv \partial_\mu \lambda_\nu - \partial_\nu \lambda_\mu + i[\lambda_\mu, \lambda_\nu], \quad \rho_{\mu\nu} \equiv \partial_\mu \rho_\nu - \partial_\nu \rho_\mu + i[\rho_\mu, \rho_\nu], \\ D_\mu l \equiv \partial_\mu l + i\rho_\mu l - i l \lambda_\mu, \quad D_\mu r \equiv \partial_\mu r + i\lambda_\mu r - i r \rho_\mu.$$

'tHooft '73,NPB; Buchalla/Catà/Celis/Knecht/CK [1710.06412,NPB]

$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

II: ... to find the Master Formula.

For the bosonic SMEFT operators in the Warsaw Basis, we have

$$\mathcal{L}_2^{\text{SMEFT}} = \mathcal{L}_2^{\text{SM}} + \phi^i (a_{\mu\nu}^{ij} D^\mu D^\nu + 2b_\mu^{ij} D^\mu + c^{ij}) \phi_j,$$

with $a_{\mu\nu}, b_\mu, c \sim \frac{1}{\Lambda^2}$.

Warsaw Basis: Grzadkowski/Iskrzynski/Misiak/Rosiek [1008.4884, JHEP]

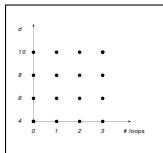
This gives

$$\begin{aligned} \mathcal{L}_{\text{div}}^{\text{SMEFT}} = & \mathcal{L}_{\text{div}}^{\text{SM}} + \frac{1}{32\pi^2\epsilon} \left(\text{tr} \left[cY + \frac{1}{3} N_{\mu\nu} [D^\mu, b^\nu] + i\bar{\Gamma} \not{b} \Gamma - \frac{1}{6} \bar{\Gamma} i \overleftrightarrow{D} a \Gamma \right] \right. \\ & + \text{tr} \left[\frac{1}{6} a^{\mu\nu} N_{\mu\lambda} N_\nu^\lambda - \frac{1}{24} a^\lambda_\lambda N_{\mu\nu} N^{\mu\nu} + \frac{1}{6} N_{\mu\lambda} [D_\nu, [D^\lambda, a^{\mu\nu}]] \right] \\ & \left. + \text{tr} \left[\frac{1}{3} Y [D_\mu, [D_\nu, a^{\mu\nu}]] - \frac{1}{4} a^\lambda_\lambda Y^2 - \frac{1}{12} Y [D_\mu, [D^\mu, a^\lambda_\lambda]] \right] \right). \end{aligned}$$

Buchalla/Celis/CK/Toelstede [1904.07840]

Higgs Effective Field Theories and their Renormalization

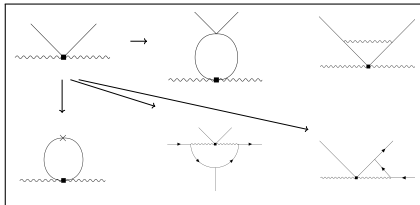
Part I: The two Higgs Effective Field Theories [1307.5017,1412.6356,1803.00939]



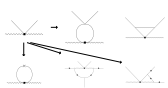
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Part II: The Master Formula for 1-loop divergences [1710.06412,1904.07840]

Part III: The Application to Higgs EFTs [1710.06412,1904.07840]



III: The two EFTs behave differently under renormalization.



SMEFT at 1 loop

- n LO vertices \rightarrow LO operators

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\Rightarrow NLO operators mix

EWChL at 1 loop

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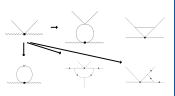
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\Rightarrow NLO operators do not mix

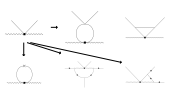


III: Renormalization of the SMEFT

Cross checks:

Buchalla/Celis/CK/Toelstede [1904.07840]

- We reproduce the results of the bosonic sector of Alonso/Jenkins/Manohar/Trott [1308.2627,1310.4838,1312.2014,JHEP]
- We performed 3.x independent computations.



III: Renormalization of the SMEFT

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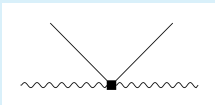
The result:

- suggests the completeness of the NLO basis.
Grzadkowski/Iskrzynski/Misiak/Rosiek [1008.4884,JHEP]
- confirms the running and mixing of the NLO operators.
Alonso/Jenkins/Manohar/Trott [1308.2627,1310.4838,1312.2014,JHEP]

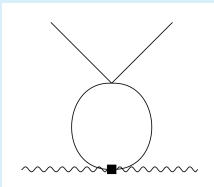
III: Renormalization of the SMEFT

example of operator mixing: $\mathcal{O}_{\phi G} = \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu}$

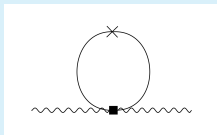
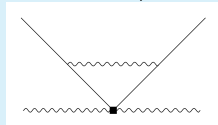
$$c_{\phi G} \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu}$$



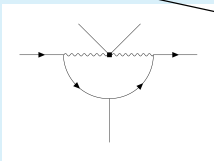
$$c_{\phi G} \lambda \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu}$$



$$c_{\phi G} g^2 \phi^\dagger \phi G_{\mu\nu}^A G^{A\mu\nu}$$

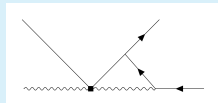


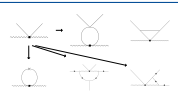
$$c_{\phi G} \frac{\mu_\phi^2}{\Lambda^2} G_{\mu\nu}^A G^{A\mu\nu}$$



$$c_{\phi G} g_s^2 (\bar{q}_L q_R \phi)(\phi^\dagger \phi)$$

$$c_{\phi G} g_s \gamma (\bar{q}_L \sigma_{\mu\nu} G^{\mu\nu} q_R \phi)$$





III: The two EFTs behave differently under renormalization.

SMEFT at 1 loop

- n LO vertices \rightarrow LO operators

- n LO vertices
• 1 NLO vertex } LO + NLO ops.

- running of dim-4 altered by

$$\beta(c_{\text{SM}}) \sim \frac{\mu_\phi^2}{\Lambda^2} c_{\text{dim-6}} c_{\text{SM}}^m$$

- running of dim-6 given by

$$\beta(c_{\text{dim-6}}) \sim c_{\text{dim-6}} c_{\text{SM}}^m$$

\Rightarrow NLO operators mix

EWChL at 1 loop

- n LO vertices \rightarrow LO+NLO ops.

- n LO vertices
• 1 NLO vertex } LO + NLO
+ NNLO ops.

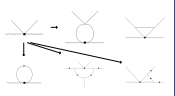
- running of LO given by

$$\beta(c_{\text{LO}}) \sim c_{\text{LO}}^m$$

- running of NLO given by

$$\beta(c_{\text{NLO}}) \sim c_{\text{LO}}^m$$

\Rightarrow NLO operators do not mix

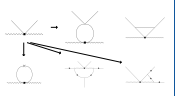


III: Renormalization of the $EWCh\mathcal{L}$

Cross checks:

Buchalla/Catà/Celis/Knecht/CK [1710.06412,NPB]

- We reproduce previous results of the Scalar sector.
Guo/Ruiz-Femenía/Sanz-Cillero, Phys. Rev. D **92** (2015) 074005, arXiv:1506.04204
- We reproduce the SM- β -functions in the SM-limit.
- We performed 4.x independent computations with 2 different choices of $\mathcal{L}_{\text{gauge-fix}}$.



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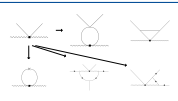
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- We performed 4.x independent computations with 2 different choices of $\mathcal{L}_{\text{gauge-fix}}$.

The result:

see also Alonso *et al.*, arXiv:1710.06848, PRD

- confirms the predictions by power counting.
Buchalla/Catà/CK, Phys. Lett. B **731** (2014) 80, arXiv:1312.5624
- is consistent with the operator basis.
Buchalla/Catà/CK, Nucl. Phys. B **880** (2014) 552, arXiv:1307.5017



III: Renormalization of the $EWCh\mathcal{L}$

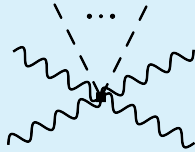
$$g^2 UD^2 h$$



$$\mathcal{O}_\beta = (g'v)^2 \langle UT_3 D_\mu U^\dagger \rangle^2 \mathcal{F},$$

1/1 operator, $\sim (F_U - F_U^2/4)$

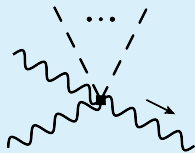
$$UD^4 h$$



$$\mathcal{O}_{D1} = \langle D_\mu UD^\mu U^\dagger \rangle^2 \mathcal{F},$$

5/15 operators generated

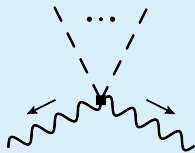
$$gUXD^2 h$$



$$\mathcal{O}_{XU7} = g' \langle T_3 D_\mu U^\dagger D_\nu U \rangle B^{\mu\nu} \bar{\mathcal{F}},$$

0/8 operators generated

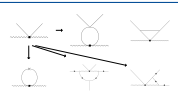
$$g^2 UX^2 h$$



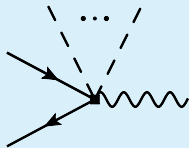
$$\mathcal{O}_{Xh1} = g'^2 B_{\mu\nu} B^{\mu\nu} \bar{\mathcal{F}},$$

0/10 operators, (3 op. $\mathcal{F}(h) = \text{const.} \Rightarrow \mathcal{L}_{LO}$)

III: Renormalization of the $EWCh\mathcal{L}$



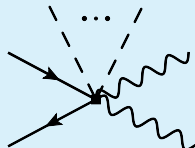
$$y^2 UD\Psi^2 h$$



$$\mathcal{O}_{\Psi V1} = iy^2 (\bar{q}_L \gamma^\mu q_L) \langle UT_3 D_\mu U^\dagger \rangle \mathcal{F},$$

13/13 operators generated

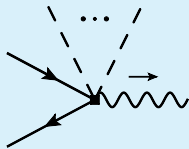
$$yUD^2\Psi^2 h$$



$$\mathcal{O}_{\Psi S1/2} = y\bar{q}_L U P_\pm q_R \langle D_\mu U D^\mu U^\dagger \rangle \mathcal{F},$$

12/30 operators generated (+h.c.)

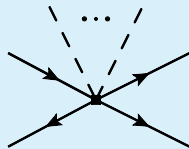
$$ygU\Psi^2 Xh$$



$$\mathcal{O}_{\Psi X1/2} = yg' \bar{q}_L \sigma_{\mu\nu} U P_\pm q_R B^{\mu\nu} \mathcal{F},$$

0/11 operators generated (+h.c.)

$$y^2\Psi^4 Uh$$



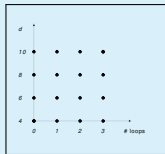
$$\mathcal{O}_{LL1} = y^2 (\bar{q}_L \gamma^\mu q_L) (\bar{q}_L \gamma_\mu q_L) \mathcal{F},$$

22/60 operators (+h.c.), from $Y \cdot Y$ or $Y^a \cdot Y^a$

Higgs Effective Field Theories and their Renormalization

— Summary —

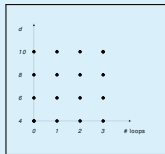
- I introduced two EFTs for physics beyond the SM: the (decoupling) SMEFT and the (nondecoupling) $EWCh\mathcal{L}$
- I showed how the two EFTs are related to each other.



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- I showed how the two EFTs are related to each other.



- I derived a master formula for the $1/\epsilon$ -poles of a given Lagrangian, based on the super-heat-kernel.

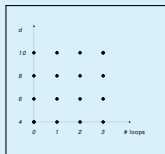
$$\frac{1}{12} \Lambda^{\mu\nu} \Lambda_{\mu\nu} + \frac{1}{2} \Sigma^2$$

- The result is purely algebraic (matrix multiplication and -tracing).

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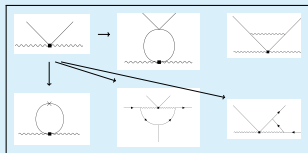


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- The result is purely algebraic (matrix multiplication and -tracing).

- For the SMEFT, we confirmed the RGE results of the bosonic sector.
- For the $EWCh\mathcal{L}$, we computed the 1-loop RGEs and confirmed the NLO basis.



Backup

Naive Dimensional Analysis (NDA)

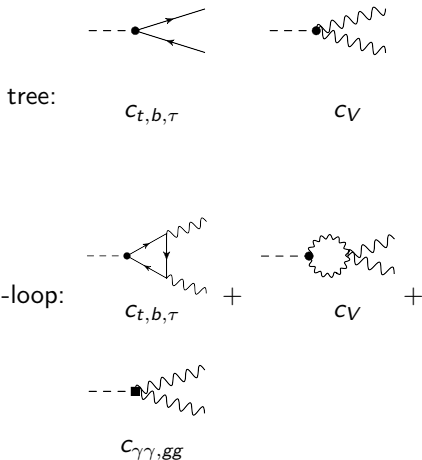
Naive dimensional analysis - NDA:

Georgi, Manohar ['84 NPB]; Georgi [hep-ph/9207278]

- Overall factor $f^2\Lambda^2$, f^{-1} for each strongly interacting field, Λ^{-1} to reach dimension 4.
- It is consistent with our counting only if internal gauge lines and Yukawa interactions are neglected.
- It gives a wrong scaling in some cases, e.g. $F_{\mu\nu}F^{\mu\nu}$.

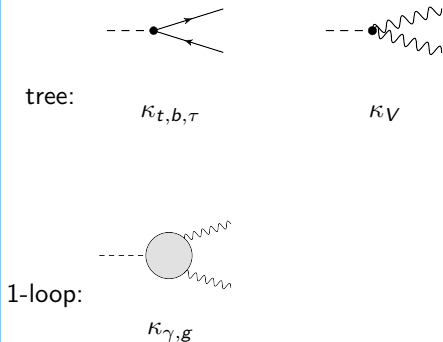
There is a relation between the electroweak chiral Lagrangian and the κ framework.

EWChL



$$\kappa_i^2 = \Gamma^i / \Gamma_{SM}^i, \quad \kappa_i^2 = \sigma^i / \sigma_{SM}^i$$

LHCHXSWG [1209.0040,1307.1347]



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EWChL



tree:

$C_{t,b,\tau}$

C_V

$$\kappa_i^2 = \Gamma^i / \Gamma_{SM}^i, \quad \kappa_i^2 = \sigma^i / \sigma_{SM}^i$$

LHCHXSWG [1209.0040,1307.1347]



tree:

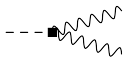
$\kappa_{t,b,\tau}$

κ_V

Both have the same number of free parameters:

$$\{C_V, C_{t,b,\tau}, C_\gamma, C_g\} \quad \text{vs.} \quad \{\kappa_V, \kappa_{t,b,\tau}, \kappa_\gamma, \kappa_g\}$$

\Rightarrow κ 's are QFT consistent and with small modifications directly interpretable within an EFT!



$C_{\gamma\gamma,gg}$

$\kappa_{\gamma,g}$

The κ framework cannot be recovered as a limit of the SMEFT (dim 6).

Full dimension 6 Grzadkowski *et al.* [1008.4884, JHEP]:

example: $h \rightarrow Z\gamma$

LO:

$$\begin{array}{ccccccc}
 \text{---} \triangleleft \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \text{---} & + & \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} \text{---} & + & \text{---} \blacksquare \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \text{---} & + & \dots \\
 \text{SM} & & \text{SM} & & \mathcal{O}\left(\frac{v^2}{\Lambda^2}\right) & &
 \end{array}$$

LO + NLO:

$$\begin{array}{ccccccc}
 \text{---} \blacksquare \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \text{---} & + & \text{---} \blacksquare \triangleleft \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \text{---} & + & \text{---} \triangleleft \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \blacksquare \text{---} & + & \dots \\
 \text{SM} + \mathcal{O}\left(\frac{v^2}{\Lambda^2}\right) & & \mathcal{O}\left(\frac{v^2}{16\pi^2\Lambda^2}\right) & & \mathcal{O}\left(\frac{v^2}{16\pi^2\Lambda^2}\right) & &
 \end{array}$$

Additional assumption of weakly coupled UV Einhorn/Wudka[1307.0478]:

$$\begin{array}{ccccccc}
 \text{---} \blacksquare \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \text{---} & + & \text{---} \blacksquare \triangleleft \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \text{---} & + & \text{---} \triangleleft \begin{array}{c} \nearrow \text{---} \\ \searrow \text{---} \end{array} \blacksquare \text{---} & + & \dots \\
 \text{SM} + \mathcal{O}\left(\frac{v^2}{16\pi^2\Lambda^2}\right) & & \mathcal{O}\left(\frac{v^2}{16\pi^2\Lambda^2}\right) & & \mathcal{O}\left(\frac{v^2}{16\pi^2\Lambda^2}\right) & &
 \end{array}$$

The Minimal Composite Higgs Model

Agashe *et al.* [hep-ph/0412089,NPB], Contino *et al.* [hep-ph/0612048,PRD]

- global symmetry spontaneously broken at scale f : $SO(5) \rightarrow SO(4)$
 - $SU(2)_L \times U(1)_Y \subset SO(4)$ is gauged
- massive W^\pm/Z , light h

$$\mathcal{L}_{\text{kin}} = \frac{f^2}{2} (D_\mu \Sigma)^T (D^\mu \Sigma),$$

where

$$\Sigma = \frac{\sin |h|/f}{|h|} \begin{pmatrix} h_a \\ \cot |h|/f \end{pmatrix},$$
$$|h| = \sqrt{h_a h_a}, \quad a = 1, 2, 3, 4$$

With $|h|U \equiv \begin{pmatrix} h_4 + ih_3 & h_2 + ih_1 \\ -(h_2 - ih_1) & h_4 - ih_3 \end{pmatrix} = (\tilde{\phi}, \phi)$ we find:

$$\mathcal{L}_{\text{kin}} = \frac{1}{2} \partial_\mu |h| \partial^\mu |h| + \frac{f^2}{4} \langle D_\mu U D^\mu U^\dagger \rangle (\sin |h|/f)^2$$

The Minimal Composite Higgs Model

Agashe *et al.* [hep-ph/0412089,NPB], Contino *et al.* [hep-ph/0612048,PRD]

In the coset $SO(5)/SO(4)$:

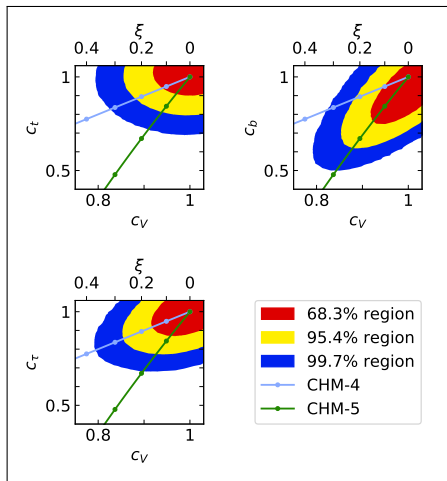
$$\xi = \frac{v^2}{f^2}$$

- $c_V = \sqrt{1 - \xi}$
universal

- $c_\psi^{(4)} = \sqrt{1 - \xi}$ or $c_\psi^{(5)} = \frac{1 - 2\xi}{\sqrt{1 - \xi}}$
representation dependent

- **4** : $\xi < 0.22$, $f > 530$ GeV

- **5** : $\xi < 0.12$, $f > 710$ GeV



de Blas/Eberhardt/CK [1803.00939,JHEP]

An Example, the SM Singlet Extension

$$\mathcal{L}_{\text{SM+S}} = \mathcal{L}_{\text{SM}} + \partial^\mu S \partial_\mu S + \frac{\mu_2^2}{2} S^2 - \frac{\lambda_2}{4} S^4 - \frac{\lambda_3}{2} \phi^\dagger \phi S^2$$

S : real scalar singlet with Z_2 symmetry

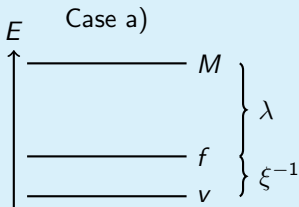
Schabinger/Wells [hep-ph/0509209], Patt/Wilczek [hep-ph/0605188],
Robens/Stefaniak [1601.07880], Englert/Plehn/Zerwas/Zerwas [1106.3097],
Buttazzo/Sala/Tesi [1505.05488], Freitas/López-Val/Plehn [1607.08251]

In physical parameters: $m, v, M, \sin \chi$, and $\xi = \frac{v^2}{f^2} = \frac{v^2}{v^2 + v_s^2}$

$$V(h, H) = \frac{1}{2} m^2 h^2 + \frac{1}{2} M^2 H^2 - d_1 h^3 - d_2 h^2 H - d_3 h H^2 - d_4 H^3 \\ - z_1 h^4 - z_2 h^3 H - z_3 h^2 H^2 - z_4 h H^3 - z_5 H^4$$

$$d_i = d_i(m^2, M^2, v, \xi, \sin \chi), \quad z_i = z_i(m^2, M^2, v, \xi, \sin \chi)$$

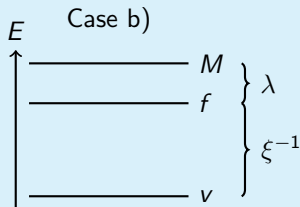
We distinguish 2 possible hierarchies.



$$|\lambda_i| \lesssim 32\pi^2,$$

$$\xi, \sin \chi = \mathcal{O}(1),$$

$$m \sim v \sim f \ll M$$



$$\lambda_i = \mathcal{O}(1),$$

$$\xi, \sin \chi \ll 1,$$

$$m \sim v \ll f \sim M$$

Buchalla/Catà/Celis/CK [1608.03564,NPB]

Integrate out H : solve equation of motion

$$H = H_0 + \frac{H_1}{M} + \frac{H_2}{M^2} + \dots$$

Case a), strong coupling, generates the $EWCh\mathcal{L}$.

$$H = H_0 + \frac{H_1}{M} + \frac{H_2}{M^2} + \dots$$

$$H_0 = H_0(h) = H_{0,2} \left(\frac{h}{v}\right)^2 + H_{0,3} \left(\frac{h}{v}\right)^3 + H_{0,4} \left(\frac{h}{v}\right)^4 + \dots$$

(closed-form solution to all orders in h)

- No $\frac{1}{M}$ suppression, but arbitrarily high canonical dimension
- Expansion in chiral dimensions → $EWCh\mathcal{L}$

LO:

$$\mathcal{L}_{LO} = \mathcal{L}_{\text{kin}} - V(h) + \mathcal{L}_{\text{Yuk}}(h) + \frac{v^2}{4} \langle (D_\mu U)(D^\mu U^\dagger) \rangle (1 + F_U(h))$$

NLO ($1/M^2$):

$\mathcal{O}_{D1}, \mathcal{O}_{D7}, \mathcal{O}_{D11}, \dots$ of
Buchalla/Catà/CK [1307.5017, NPB]

Case b), weak coupling, generates the SMEFT.

$$H = H_0 + \frac{H_1}{M} + \frac{H_2}{M^2} + \dots$$

$$H_0 = 0, \quad H_1 = -\frac{\lambda_3 v_H}{2M} \phi^\dagger \phi$$

→ Always $\frac{1}{M}$ suppression

→ Expansion in canonical dimensions → SMEFT

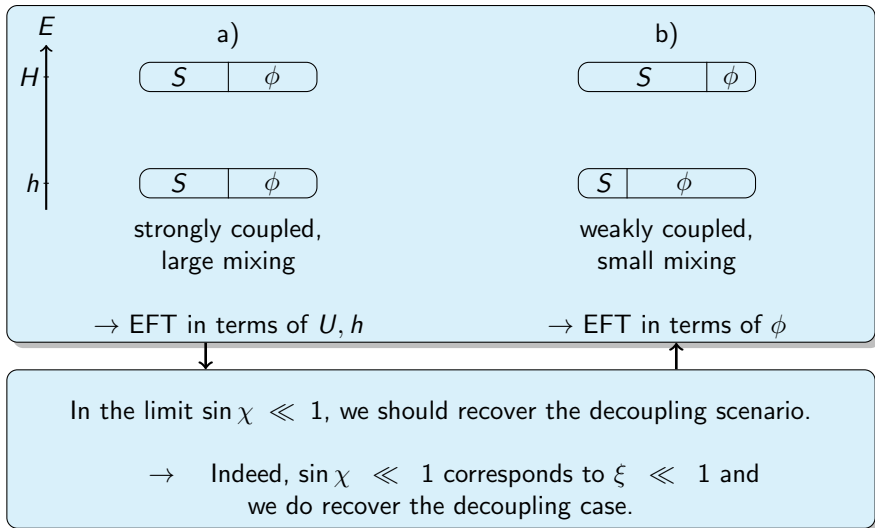
LO:

SM with renormalized couplings

NLO ($1/M^2$):

$$\mathcal{L}_{\text{NLO}} = \frac{1}{4} \frac{\lambda_3^2}{\lambda_2 M^2} \partial^\mu (\phi^\dagger \phi) \partial_\mu (\phi^\dagger \phi)$$

The physical picture helps to relate the two EFTs.



Renormalization of SMEFT, an explicit example

Starting from

$$\mathcal{Q}_\phi = (\phi^\dagger \phi)^3 = \frac{1}{8} (\varphi_i \varphi_i)^3,$$

we find

$$a_{ij}^{\mu\nu} = 0, \quad b_{ij}^\mu = 0, \quad c_{ij} = -\frac{3}{4} (\hat{\varphi}_a \hat{\varphi}_a)^2 \delta_{ij} - 3 (\hat{\varphi}_a \hat{\varphi}_a) \hat{\varphi}_i \hat{\varphi}_j,$$
$$Y_{ij} = \left(\left(\frac{\lambda}{2} + \frac{g^2}{4} \right) \hat{\varphi}_a \hat{\varphi}_a - m^2 \right) \delta_{ij} + \left(\lambda - \frac{g^2}{4} \right) \hat{\varphi}_i \hat{\varphi}_j - g'^2 (t_R^3 \hat{\varphi})_i (t_R^3 \hat{\varphi})_j.$$

Therefore

$$\text{tr } cY = - \left(54\lambda + \frac{9}{2}g^2 + \frac{3}{2}g'^2 \right) (\phi^\dagger \phi)^3 + 24m^2 (\phi^\dagger \phi)^2.$$

gives with $K_{(\phi)} = 6(3g^2 + g'^2 - \gamma_\phi)$

$$\beta_\phi \supseteq \left(54\lambda - \frac{27}{2}g^2 - \frac{9}{2}g'^2 + 6\gamma_\phi \right) C_\phi, \quad \text{and} \quad \beta_\lambda \supseteq 48 \frac{m^2}{\Lambda^2} C_\phi.$$